

TRANSMITTAL LETTER TO THE UNITED STATES ELECTED OFFICE (EO/US)

(Entry Into U.S. National Phase Under Chapter II)

PCI/EP00/01065	10 FEBRUARY 2000	16 MARCH 1999
International Application No.	International Filing Date	Priority Date Claimed

BADGE-FREE CAN COATING

Invention Title

CHRISTIAN VOGT, PETER AMBROSI

Applicant in U.S.

for countries other than U.S., Applicant is W.R. Grace & Co.-Conn.

BOX PCT

Commissioner for Patents

Washington, D C 20231

ATTENTION: EO/US

Sir:

I.

1. Applicant(s) herewith submits to the United States Elected Office (EO/US) the following items under 35 U.S.C. §371:

- a. ☐ This express request to immediately begin national examination procedures (35 U.S.C. §371(f)).
- b. ☒ The U.S. National Fee (35 U.S.C. §371(c)(1)) and other fees (37 CFR §1.492) as indicated below:

CERTIFICATE OF EXPRESS MAILING (37 CFR §1.10)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service Express Mail® Service on September 12, 2001, under Express Mail No. EL889098875US and is addressed to:
BOX PCT, Commissioner for Patents, Washington, DC 20231.

Name : Craig K. Leon

Craig K. Leon

Signature

September 12, 2001

Sig. Date

2. Fees

A. CLAIMS FEE*				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Calculations
Total Claims	16 - 20 =	0	x \$18.00 =	\$0.00
Independent	1 - 3 =	0	x \$78.00 =	\$0.00
Multiple Dependent Claims (if any)		0	x \$260.00 =	\$0.00
Please Enter the Claim Amendments Before Calculating File Fees Claims Fee total =				\$0.00
B. BASIC FEE				
<input type="checkbox"/> USPTO WAS INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where an International Preliminary Examination Fee as set forth in §1.482 has been paid on the international application to the USPTO:				
<input type="checkbox"/> and the international preliminary examination report states that the criteria of novelty, inventive step (non-obviousness) and industrial activity, as defined in PCT Articles 33(1) to (4) have been satisfied for all the claims presented in the application entering the national stage (37 CFR §1.492(a)(4)).....				\$96.00
<input type="checkbox"/> and the above requirements are not met (37 CFR §1.492(a)(1))				\$670.00
<input checked="" type="checkbox"/> USPTO WAS NOT INTERNATIONAL PRELIMINARY EXAMINATION AUTHORITY Where no International Preliminary Examination Fee as set forth in §1.482 has been paid on the international application to the USPTO, and payment of an international search fee as set forth in §1.445(a)(2) to the USPTO:				
<input type="checkbox"/> has been paid (37 CFR §1.492(a)(2)).....				\$760.00
<input type="checkbox"/> has not been paid (37 CFR §1.492(a)(3)).....				\$970.00
<input checked="" type="checkbox"/> where a search report on the international application has been prepared by the European Patent Office or the Japanese Patent Office (37 CFR §1.492(a)(5)).....				\$840.00
Basic Fee total =				\$840.00
C. SMALL ENTITY				
<input type="checkbox"/> Reduction by 1/2 for filing by small entity, if applicable. Affidavit must also be filed (37 CFR §1.9, 1.27, 1.28)				\$
Subtotal (A + B + C)=				\$840.00
Total National Fee =				\$840.00
<input type="checkbox"/> Fee for recording the enclosed assignment document (37 CFR §1.21(h). See attached "ASSIGNMENT COVER SHEET"				\$40.00
TOTAL FEES ENCLOSED =				\$840.00

*[X] A Preliminary Amendment of claims is attached. Please enter the claim amendments before computing the fees.

- i. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- ii. ☒ Please charge my Deposit Account No. 07-1756 in the amount of \$840.00. Triplicate copies of this sheet are enclosed.

3. [x] A copy of the international application as filed (35 U.S.C. §371(c)(2)):
 - a. [x] is transmitted herewith.
 - b. [] is not required, as the application was filed with the United States Receiving Office.
 - c. [x] has been transmitted
 - i. [x] by the International Bureau.
Mailing date of the application (from form PCT/1B/308)
21 September 2000
 - ii. [] by applicant on (date) _____.
4. [x] A translation of the international application into the English language (35 U.S.C. §371(c)(2)):
 - a. [] is transmitted herewith.
 - b. [x] is not required, as the application was filed in English.
 - c. [] was previously transmitted by applicant on (date) _____.
 - d. [] will follow.
5. [X] Amendments to the claims of the international application under PCT Article 19 (35 U.S.C. §371(c)(3)):
 - a. [] is transmitted herewith.
 - b. [X] have been transmitted
 - i. [X] by the International Bureau.
Mailing date of the application (from form PCT/1B/346):
18 July 2000 .
 - ii. [] by applicant on (date) _____.
 - c. [] have not been transmitted as
 - i. [] applicant chose not to make amendments under PCT Article 19.
Date of mailing of Search Report (from form PCT/ISA/210):
_____.
 - ii. [] the time limit for the submission of amendments has not yet expired. The amendments or a statement that amendments have not been made will be transmitted before the expiration of the time limit under PCT Rule 46.1.
6. [x] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)):
 - a. [] is transmitted herewith.
 - b. [x] is not required, as the amendments were made in the English language.
 - c. [] have not been transmitted for reasons indicated at point 5c above.

7. ☒ A copy of the international examination report (PCT/IPEA/409):
- ☒ is transmitted herewith.
 - ☐ is not required, as the application was filed with the United States Receiving Office.
8. ☐ Annex(es) to the international examination report (PCT/IPEA/409):
- ☐ is/are transmitted herewith.
 - ☐ is/are not required, as the application was filed with the United States Receiving Office.
9. ☐ A translation of the annex(es) to the international examination report:
- ☐ is/are transmitted herewith.
 - ☐ is/are not required, as the annex(es) are in the English language.
10. ☒ A signed oath or declaration of the inventor complying with 35 U.S.C. §115:
- ☐ was previously submitted by applicant on (date) _____.
 - ☐ is submitted herewith, and such oath or declaration
 - ☐ is attached to the application.
 - ☐ identifies the application and any amendments under PCT Article 19 that were transmitted as stated in points 3b or 3c and 5b; and states that they were reviewed by the inventor(s) as required by 37 CFR §1.70.
 - ☒ will follow.

II. Other document(s) or information included:

11. ☒ An International Search Report (PCT/ISA/210) or Declaration under PCT Article 17(2)(a):
- ☒ is transmitted herewith.
 - ☐ has been transmitted by the International Bureau.
Mailing date (from form PCT/1B/308):
 - ☐ is not required, as the application was searched by the United States International Searching Authority.
 - ☐ will be transmitted promptly upon request.
 - ☐ has been submitted by applicant(s) on (date) _____.
12. ☒ An Information Disclosure Statement under 37 CFR §§1.97 and 1.98:
- ☐ is transmitted herewith. Also transmitted herewith are:
 - ☐ Form PTO-1449.
 - ☐ Copies of citations listed.
 - ☒ will be transmitted within THREE MONTHS of the date of submission of requirements under 35 U.S.C. §371(c).

Attorney Docket No. L3669-01

c. ☐ was previously submitted by applicant(s) on (date) _____.

13. ☐ An assignment document is transmitted herewith for recording.

☐ The assignment document was filed on _____, and Applicant encloses a copy herewith. Applicant has not received confirmation yet of assignment filing.

A separate

☐ "Cover Sheet for Assignment (Document) Accompanying new Patent Application" or

☐ Form PTO-1595 is also attached.

☐ Please return the assignment document after recordation to

W. R. Grace & Co.-Conn.
Patent Department
62 Whittemore Avenue
Cambridge, Massachusetts 02140-1692

14. ☒ Additional documents:

a. ☒ Copy of request (PCT/RO/101)

b. ☐ International Publication No. _____.

i. ☐ Specification and claims

ii. ☐ Front page only

c. ☒ Preliminary Amendment (37 CFR §1.121)

d. ☒ Other

Return Receipt Postcard

15. ☒ The above checked items are being transmitted:

a. ☒ before 30 months from any claimed priority date.

b. ☐ after 30 months.

16. ☐ Certain requirements under 35 U.S.C. §371 were previously submitted by the applicant(s) on _____, namely:

AUTHORIZATION TO CHARGE ADDITIONAL FEES

[x] The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the entire pendency of this application to Account No. 07-1756:

- i. [x] 37 CFR §1.492(a)(1), (2), (3), and (4) (*filing fees*)
- ii. [x] 37 CFR §1.492(b), (c), and (d) (*presentation of extra claims*)
- iii. [x] 37 CFR §1.17 (*application processing fees*)
- iv. [] 37 CFR §1.492(b), (c), and (d) (*issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR §1.311(b)*)
- v. [x] 37 CFR §1.492(e) and (f) (*surcharge fees for filing the declaration and/or filing an English translation of an International Application later than 30 months after the priority date*).

Authorization is given to charge our Deposit Account No. 07-1756 for any required fees or expenses due under 37 C.F.R. §1.53.

Respectfully submitted,



Craig K. Leon
Attorney for Applicant
Registration No. 33,293

Date: September 12, 2001
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